

Points of View

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Automated Phylogenetic Taxonomy: An Example in the Homobasidiomycetes (Mushroom-Forming Fungi)

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Systematists confront a truly formidable task, which is to construct a comprehensive, phylogenetically accurate classification for all of life. Progress toward this goal has accelerated in recent years through analyses of DNA and protein sequences. The dramatic growth of molecular phylogenetics prompts us to ask whether established taxonomic practices are equipped to deal with the rapidly accumulating data. Here, we consider the current status of phylogenetics and classification in the homobasidiomycetes (mushroom-forming fungi), which includes roughly 17,000 described species (Kirk et al., 2001). We find that the available data are not being integrated, and that there is a significant gap between current taxonomy and understanding of phylogenetic relationships. To close this gap, we suggest that there is a need for new approaches that use the tools of bioinformatics to automate the process of phylogenetic analysis and classification, and we describe a prototype software package that we have developed for this purpose. The tools that we have created are applied to homobasidiomycetes, but can be adapted for use in any group of organisms.

CURRENT STATUS OF HOMOBASIDIOMYCETE SYSTEMATICS

Progress in Phylogenetic Reconstruction

Taxonomy of homobasidiomycetes has been revolutionized through the use of molecular techniques. The most widely sampled locus for studies at high to moderate taxonomic levels (i.e., genera and higher) in homobasidiomycetes is a region of about 1000 base pairs at the 5' end of the nuclear-encoded large subunit ribosomal DNA (nuc-lsu rDNA), which can be aligned across distantly related taxa, but can often distinguish closely related species (e.g., Moncalvo et al., 2002). As of November 2004, GenBank (<http://www.ncbi.nlm.nih.gov/Genbank/index.html>) contained about 4204 nuc-lsu rDNA sequences of homobasidiomycetes, representing 2167 distinct names (not all of the sequences are

identified to the species level). However, the most comprehensive analyses so far include only 877 sequences (representing 877 species; Moncalvo et al., 2002) or 656 sequences (representing approximately 646 species; Binder et al., 2005), which indicates that the currently available data are not being integrated in comprehensive, simultaneous analyses. This is unfortunate, because comprehensive analyses are needed to determine the composition of clades and develop detailed phylogenetic hypotheses. Comprehensive analyses are also needed to detect mislabeled sequences, which are common in groups like fungi, where identification is difficult (Binder et al., 2005; Bridge et al., 2003; Vilgalys, 2003). Finally, analyses with multiple accessions of morphologically defined species are needed to reveal cryptic lineages.

To enable comprehensive phylogenetic analyses in homobasidiomycetes, it is important that the database of nuc-lsu rDNA sequences be expanded and integrated. However, nuc-lsu rDNA alone is not sufficient to reconstruct homobasidiomycete phylogeny in all of its details, as has been shown in many studies (e.g., Binder and Hibbett, 2002). Fortunately, analyses of multilocus data sets (supermatrices) are becoming more common (e.g., Matheny et al., 2002; Wang et al., 2004), and these are providing improved resolution of major clades of homobasidiomycetes and other groups of fungi (Binder and Hibbett, 2002; Lutzoni et al., 2004).

Numerous single-gene and multilocus studies have contributed to our current understanding of the major groups of homobasidiomycetes. In 2001, Hibbett and Thorn reviewed the results of 26 studies and proposed a "preliminary phylogenetic outline," in which the homobasidiomycetes were divided into eight mutually exclusive major clades that were given informal names (e.g., "euagarics clade"). Subsequently, several other putatively independent clades have been discovered, including the "athelioid clade," "corticoid clade," "trechisporoid clade," and "Gloeophyllum clade" (Hibbett and Binder, 2002; Langer, 2002; Larsson

et al., 2004; Binder et al., 2005). There may be other major clades waiting to be discovered. For example, *Jaapia argillacea* and several other species of resupinate basidiomycetes cannot be placed into any of the twelve clades named above based on currently available sequences (Larsson et al., 2004; Binder et al., 2005). Analyses of environmental sequences also suggest that there are major groups of homobasidiomycetes that have not yet been described (Vandenkoornhuyse et al. 2002; Schadt et al., 2003). This is not surprising, given that only about 70,000 species of fungi have been described (Kirk et al., 2001), whereas it is estimated that there are as many as 1.5 million extant species (Hawksworth, 2001).

Progress in Classification

The fungal taxonomic literature is highly dispersed, which makes it difficult to assess the extent to which recent advances in phylogenetic reconstruction have been incorporated into currently accepted classifications. However, there is one major reference that provides a measure of the current status of fungal taxonomy. The *Dictionary of the Fungi* series presents a unified classification for all groups of fungi down to the level of genera, and is now in its ninth edition (Kirk et al., 2001). Between the eighth and ninth editions, the classification of homobasidiomycetes in the *Dictionary* was revised extensively, in response to progress in phylogenetic reconstruction (Hawksworth et al., 1995; Kirk et al., 2001). The eighth edition placed the homobasidiomycetes in 26 orders in the subclass Holobasidiomycetidae, whereas the ninth edition placed most of the homobasidiomycetes in eight orders in the subclass Agaricomycetidae.

The ninth edition of the *Dictionary of the Fungi* represented a major, episodic advance toward a phylogenetic classification of homobasidiomycetes. Nevertheless, based on current views of homobasidiomycete phylogeny (Hibbett and Thorn, 2001; Weiss and Oberwinkler, 2001; Larsson et al., 2004; Binder et al., 2005), the Agaricomycetidae and at least four of the orders within the Agaricomycetidae are probably not monophyletic (Table 1). The most problematic order is the Polyporales, which includes representatives of nine different clades.

It is obviously unfair to expect the classification in the *Dictionary of the Fungi* to reflect the most recent phylogenetic analyses, especially those that appeared after the latest edition of the *Dictionary* was published. Indeed, the discrepancy between the *Dictionary* classification and our current understanding of phylogeny argues for the abandonment of print as a medium for communicating the most up-to-date taxonomy (in fact, on-line classifications already exist for both Basidiomycota, <http://www.mycology.com/AAU/Systematics/SystematicsBasi.html>, and Ascomycota, <http://www.umu.se/myconet/Myconetxxx.html>). There is evidence that the limitations of print are not the only impediments to translation of phylogenetic trees into taxonomy, however. The *International Code of Botanical Nomenclature* (Greuter et al., 2000) may

also be part of the problem (Hibbett and Donoghue, 1998).

The constraints on taxonomic progress imposed by the *Code* (Greuter et al., 2000) are illustrated by the controversy over the classification of the genus *Coprinus*, a familiar assemblage of "inky cap" mushrooms. In 1994, and repeatedly thereafter, *Coprinus* was shown to be polyphyletic (Hopple and Vilgalys, 1994; Redhead et al., 2001, and references therein). Redhead et al. (2001) suggested that *Coprinus* s. lat. should be divided into *Coprinus* s. str., *Coprinellus*, *Coprinopsis*, and *Parasola*, but this proposal has become mired in a nomenclatural debate concerning conservation and typification of generic names (Jørgensen et al., 2001; also see discussion at <http://www.cbs.knaw.nl/nomenclature/index.htm>). Consequently, *Coprinus* s. lat. remains an "accepted" taxon (Kirk et al., 2001) fully a decade after it was shown that it is not a clade.

The example of *Coprinus* demonstrates that the challenges of translating phylogenetic hypotheses into taxonomy can be especially difficult at taxonomic levels at or below the rank of family, because of nomenclatural complexities. Problems such as the one posed by *Coprinus* will only increase in number as more genera are sampled intensively. For example, in the study of Binder et al. (2005), 34 genera were resolved as nonmonophyletic, and in the study of Moncalvo et al. (2002), 44 genera were resolved as nonmonophyletic.

In summary, homobasidiomycete taxonomy is blessed with an abundance of data and analyses, but lacks efficient mechanisms to integrate the emerging data into comprehensive phylogenetic trees, or translate trees into classifications. Over 90% of the homobasidiomycete nuc-18S rDNA sequences in GenBank have been published since 1998, and there is no reason to expect the rate of data acquisition to decline in the near term. As the sequence database grows, there is a danger that the gap between taxonomy and understanding of phylogenetic relationships will widen. To prevent this from happening, systematists may wish to consider new tools that automate the process of phylogenetic classification.

TOWARD AN AUTOMATED PHYLOGENETIC TAXONOMY OF HOMOBASIDIOMYCETES

We have developed a Perl script package called *mor* that performs automated phylogenetic taxonomy in homobasidiomycetes. In brief, *mor* is a Web-accessible, interactive program that compiles and screens nuc-18S rDNA sequences from GenBank, constructs phylogenetic trees, and translates trees into classifications (Fig. 1). Central to *mor* is the use of node-based phylogenetic taxon definitions, which allow the composition of taxa (clades) to be continuously updated as the underlying phylogeny changes. The *mor* package is available on an open-source basis and can be modified to work with any gene and any group of organisms. The following paragraphs provide an overview of the operations performed by *mor*. More detailed information is provided in

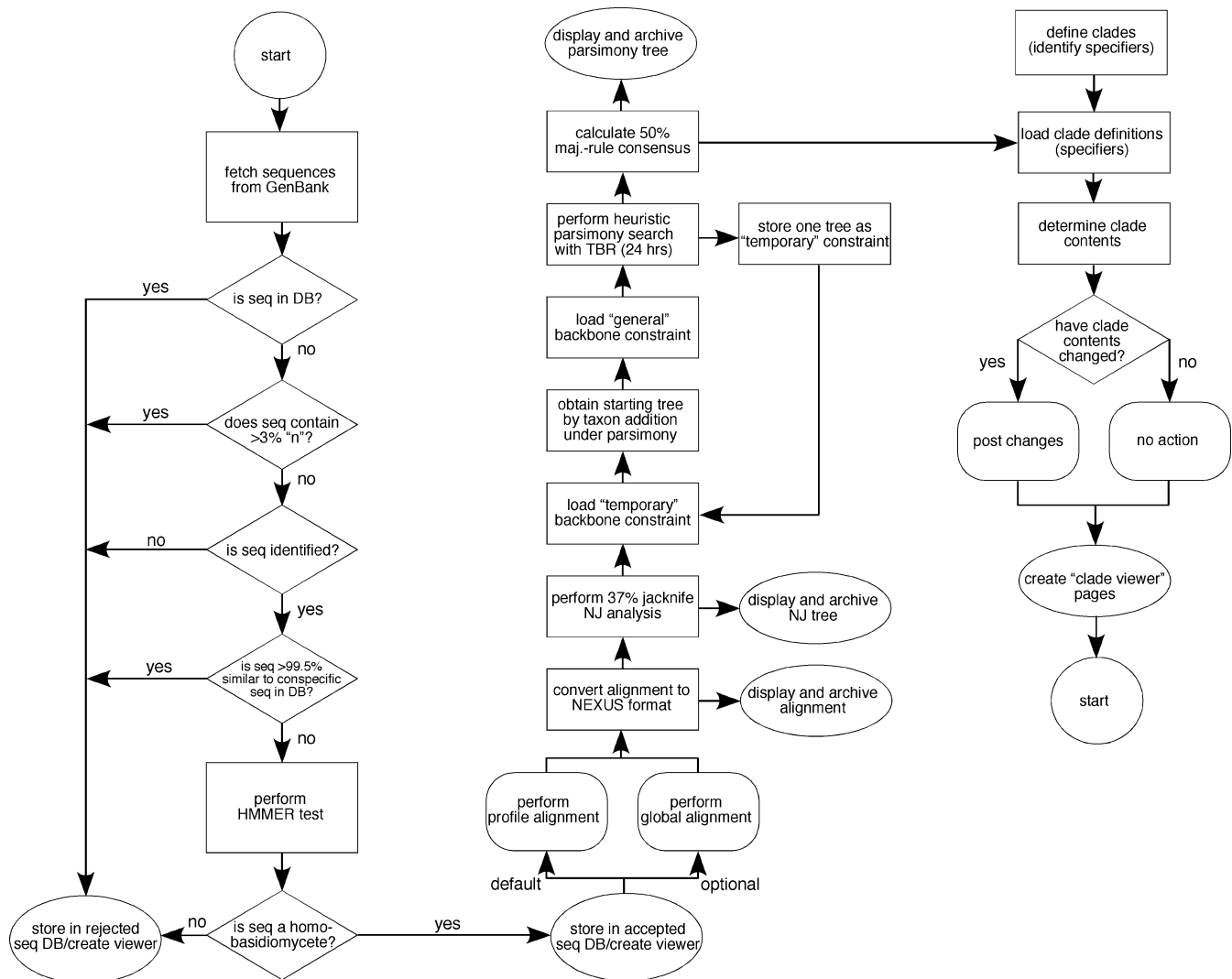


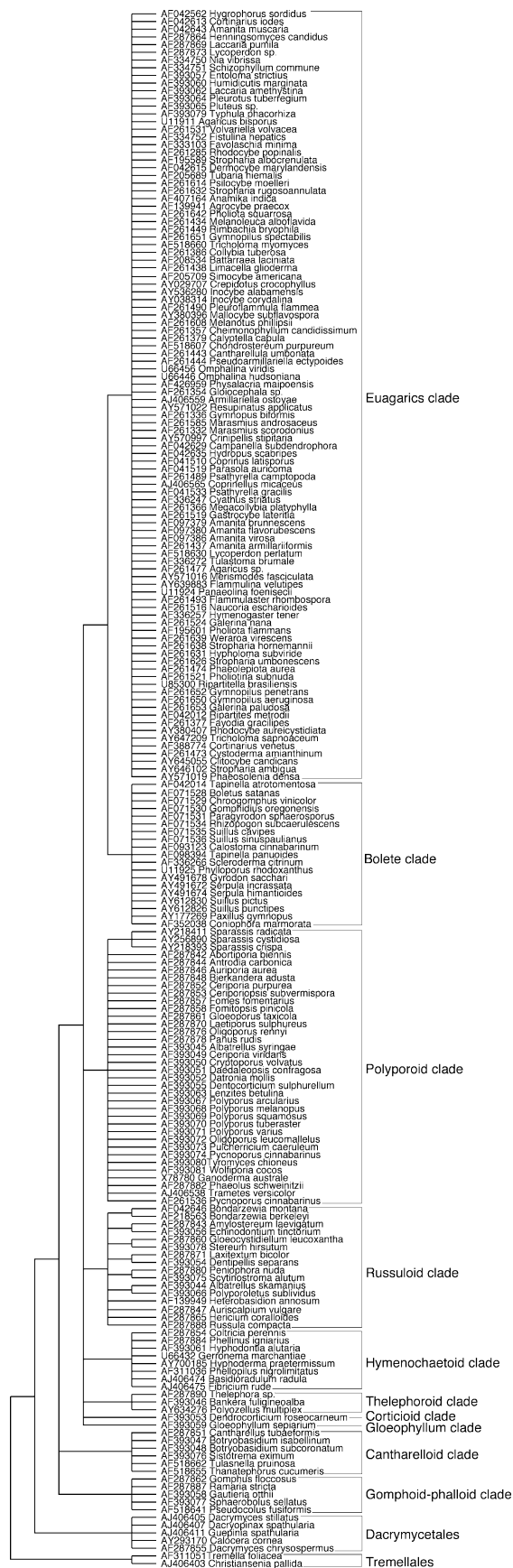
FIGURE 1. Simplified flow chart representing operations performed by *mor*, including sequence acquisition and screening (left), alignment and phylogenetic analyses (center), and extraction of clade composition information (right).

the program documentation available at the *mor* website (<http://mor.clarku.edu>).

Automated Phylogenetic Reconstruction

The *mor* software runs in a Linux environment (presently on a 1.6-GHz AMD Athlon PC). Upon being evoked on a weekly basis by the operating system, *mor* uses BioPerl routines (<http://bioperl.org>) to connect to GenBank and retrieve new sequences using a Boolean search string tailored to match only homobasidiomycete nuc-18S rDNA sequences between 800 and 1500 base pairs. Downloaded sequences are screened according to several criteria, including percentage of ambiguous sites (sequences with more than 3% of the positions reported as "n" are rejected on the assumption that they are of low quality), and occurrence of putatively conspecific sequences with at least 99.5% identity in the dataset (values of these screening parameters are arbitrary and can be adjusted by

modifying the Perl script). The latter criterion is intended to prevent redundant sequences from accumulating in the data set, while still allowing sequences representing cryptic species to be added. Sequences that pass these tests are subjected to a final screening procedure using a profile hidden Markov model constructed with HMMER 2.3.2 (Eddy, 1998; <http://hmmer.wustl.edu/>) based on a reference alignment of 1275 homobasidiomycete nuc-18S rDNA sequences. For each candidate sequence, HMMER calculates a bit score, which reflects whether the candidate sequence is a better match to the profile model or a null model of nonhomologous sequences, and an E-value, which reflects the number of false positives expected at that bit score. Threshold values for bit scores and E-values were determined by matching 200 other homobasidiomycete sequences and 200 nonhomobasidiomycete sequences to the reference alignment using the *hmmpfam* component of HMMER. Following the screening procedure, the accepted and rejected



sequences are stored in separate Web-accessible MySQL databases (<http://www.mysql.com>). Reasons for rejection are noted in a rejected sequences viewer.

Accepted sequences are aligned using Clustal W (Thompson et al., 1994) in profile mode (optionally, Clustal W can be set to perform a global alignment). Aligned sequences are analyzed by PAUP* 4.0b10 (Swofford, 2003) using an unconstrained 37% jackknife neighbor-joining (NJ) analysis, which provides a crude measure of clade support, followed by a constrained equally weighted heuristic maximum parsimony (MP) analysis. The jackknife NJ analysis uses 100 replicates and maximum likelihood distances calculated with the GTR model. The MP analysis uses a 202-sequence backbone monophyly constraint (Fig. 2) that was constructed by hand using MacClade 4.0 (Maddison and Maddison, 2001), and which reflects major groupings based on prior studies, including multilocus analyses (Hibbett and Thorn, 2001; Binder and Hibbett, 2002; Larsson et al., 2004; Lutzoni et al., 2004; Binder et al., 2005). The backbone constraint tree does not include representatives of the athelioid clade, trechisporoid clade, or Auriculariales s. lat., because their higher-level placements are poorly resolved.

For the MP analysis, *mor* uses a "twin constraint" search strategy, which eliminates the need to construct a starting tree from scratch through stepwise taxon addition and to perform the early rounds of branch-swapping in each iteration of the program. At the outset, this search protocol requires the presence of a data matrix, one treefile containing a "general constraint" tree (i.e., the 202-sequence backbone constraint tree described above), and another treefile containing a "temporary constraint," which is one of the most parsimonious trees generated in the previous iteration of *mor*. The search proceeds as follows:

1. Update the starting dataset (i.e., download, screen, and add new sequences to the existing alignment).
2. Execute the data set and load the temporary constraint tree as a backbone monophyly constraint; add the new sequences to this tree by stepwise taxon addition, but do not perform branch swapping.
3. Load the general constraint (Fig. 2) as a backbone monophyly constraint (i.e., replace the current constraint); perform a heuristic search using the tree in memory as a starting tree for branch swapping (presently *mor* is set to perform TBR for 24 hours).
4. Write one of the resulting trees to the temporary constraint treefile (i.e., overwrite the old temporary

FIGURE 2. Two hundred two-sequence backbone monophyly constraint tree used by *mor*. Major clades are bracketed and labeled. Several of the clades delimited in *mor* (Fig. 3) are not represented in this constraint tree, including the trechisporoid clade, athelioid clade, Auriculariales, and Sebaciales. These groups were excluded from the backbone constraint because their higher-level placements are uncertain.

constraint treefile); this becomes the starting tree for the next run of the program.

5. Calculate a 50% majority-rule consensus tree.
6. Go to step 1.

The majority-rule consensus MP tree and the jackknife NJ tree are converted to tree graphics in ASCII characters and are made available on the *mor* site through the Apache Web server (<http://httpd.apache.org>). Terminals in the trees are labeled with complete genus and species names and GenBank accession numbers, and are provided with links that allow viewers to toggle between the parsimony and NJ trees, view the GenBank accession directly, make and view comments, or search for images on the internet. Each week, the current alignment and MP and NJ trees are archived on the *mor* website (examples of these files have been deposited at the *Systematic Biology* website <http://www.systbiol.org>).

Automated Phylogenetic Taxonomy

The routines described above produce comprehensive, continuously updated phylogenetic trees, but they do not generate taxonomy. For this purpose, *mor* includes a "clade parser," which delimits the contents of individual clades, and thus translates trees into classifications. The clade parser takes as its input a tree in Newick format (<http://evolution.genetics.washington.edu/phylip/newicktree.html>), representing the 50% majority-rule consensus tree from the MP analysis, and sets of up to 20 sequences identified by GenBank accession numbers that act as "specifiers" in node-based taxon definitions (de Queiroz and Gauthier, 1992). A clade is thus defined as the most recent common ancestor of its specifiers, and all of its descendants (i.e., the least inclusive monophyletic group that contains all of the specifiers).

To determine the contents of each clade, the clade parser locates the first and last specifiers listed in the Newick string. Beginning from the first specifier, the clade parser traverses the tree in a down-pass to the root, marking each node that is traversed. Next, the clade parser traverses the tree in a down-pass toward the root starting from the last specifier in the Newick string. When a node that was visited on the first down-pass is reached, that node is marked as the most recent common ancestor of the clade. An up-pass is then performed, leading to all of the terminals in the clade, which are compiled in a list. A "clade viewer" web page is then produced, which presents a tree graphic (in the same form as the full MP and NJ trees), a list of all included sequences (with links to literature and images), and a link to download a NEXUS format (Maddison et al., 1997) file with the aligned sequences and tree in Newick format for the clade. The tree graphic on the clade viewer pages is produced using the CONSENSE component of the PHYLIP package (Felsenstein, 1989). The information on the clade viewer pages is automatically updated each week as the underlying phylogeny changes. A summary of recent changes in the compositions of all clades is posted, and the records of such changes are archived and accessible

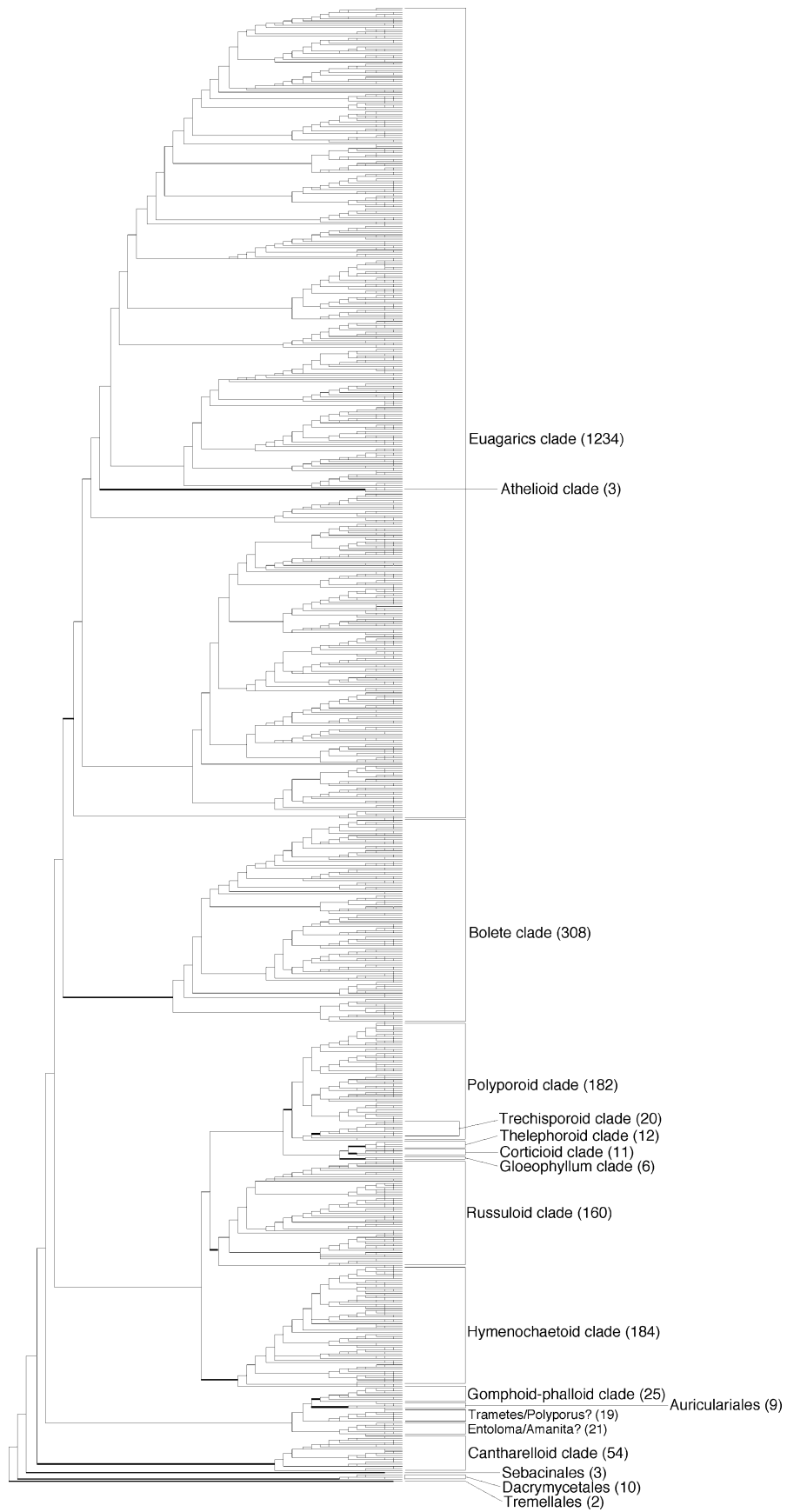
via the *mor* web site. In addition, an "incertae sedis" page is created, which lists all of the sequences that are not placed in any defined clade. Users may add new clades to *mor* by supplying a list of specifiers via web-accessible forms, along with a name for the clade and a set of author's comments.

As of this writing, *mor* has downloaded 2416 sequences, which is 57% of the homobasidiomycete nuc-lsu rDNA sequences in GenBank. The remaining 1788 putative homobasidiomycete nuc-lsu rDNA sequences were not downloaded because they do not meet the minimum length criterion (800 base pairs). The screening functions of *mor* rejected 177 of the downloaded sequences, most often because they are more than 99.5% similar to conspecific sequences already in the dataset (85 sequences) or include too many ambiguous sites (79 sequences). Two thousand two hundred thirty-nine sequences have been aligned and analyzed, which represent 1774 species in 537 genera (about 10% of the described species of homobasidiomycetes), and parsimony trees of 45,036 steps (CI = 0.09721; RI = 0.80799) have been produced. Seventeen clades have been defined, based primarily on major clades recognized by Hibbett and Thorn (2001), Larsson et al. (2004), and Binder et al. (2005) (Fig. 3). The clades that have been delimited in *mor* have been given informal names, consistent with their use in the publications of Hibbett and Thorn (2001), Larsson et al. (2004), and Binder et al. (2005). Eventually, it should be possible to define clades in *mor* in a way that will correspond to formal taxon definitions under the PhyloCode (<http://www.ohiou.edu/phylocode/>).

Some aspects of the topology produced by *mor* appear to be erroneous, which is not surprising given the size of the dataset and the limitations of the analysis. For example, several exemplars of the genera *Amanita* and *Entoloma*, which are gilled mushrooms, and *Trametes* and *Pycnoporus*, which are poroid forms, are placed as close relatives of the "jelly fungi" Auriculariales s. lat., which is highly unlikely (Fig. 3). Nevertheless, in general, the composition of the clades agrees well with their limits as estimated in the phylogenetic studies cited above. The largest clade of homobasidiomycetes delimited in *mor* is the euagarics clade (Agaricales), which is represented by 1219 sequences, whereas the smallest is the athelioid clade, which is represented by three sequences.

CONCLUSIONS AND FUTURE DIRECTIONS

The *mor* system demonstrates that the core elements of phylogenetic taxonomy can be automated. This system also demonstrates an advantage of rank-free taxonomy relative to traditional taxonomy, which is that taxa in a rank-free system are amenable to algorithmic interpretation. Of course, the groups delimited by *mor* could be classified using Linnaean categories. However, that would negate another advantage of rank-free classification, which is that the names of taxa are stable in the face of rearrangements in tree topologies. This last feature is critical for automated taxonomic systems, because trees may change from week to week,



resulting in arrangements that violate the hierarchy of Linnaean ranks. Conceivably, algorithms could be devised that would detect conflicts between the hierarchy of Linnaean ranks and the nested relationships among clades, but automated solutions to such conflicts are probably not a realistic possibility (as shown by the *Coprinus* example). If taxonomy is to be fully automated, it will be necessary to adopt a system of rank-free classification.

One could argue that automatically updated taxonomy would actually impede communication among systematists, because the compositions of taxa would be unstable (although their phylogenetic definitions would be conserved). This problem could be circumvented by archiving trees, as is done in *mor*. Systematists would then have to refer to taxonomic concepts in the context of a specific phylogenetic tree, identified by its date of deposition. This would not be so different from the present situation, in which the compositions of taxa often cannot be known unless a specific taxonomic work is cited (for example, Russulales sensu Hawksworth et al. [1995] is not equivalent to Russulales sensu Kirk et al. [2001]).

Many enhancements are possible in the alignment and phylogenetic reconstruction procedures used in *mor*. For example, the parsimony analysis in *mor* is susceptible to being trapped by local optima (because it amounts to one long single-threaded heuristic search), and should be replaced by methods that have been designed for large datasets, such as the parsimony ratchet (Goloboff, 1999; Nixon, 1999). Even with such improvements, *mor* is unlikely to provide state-of-the-art analyses, because of the restrictions imposed by such a large data set, as well as its reliance on a single locus (nuc-18S rDNA). Nevertheless, *mor* can incorporate insights into phylogeny resulting from more sophisticated analyses, including multilocus studies, which can be used to guide refinements of the general constraint.

Another weakness of *mor* is that it does not incorporate the large database of sequences from the internal transcribed spacer (ITS) regions of nuclear rDNA. ITS is more variable than nuc-18S rDNA and it has become a preferred region for studies at low taxonomic levels and for identification purposes in molecular ecology (Horton and Bruns, 2001). As of November 2004, there were at least 8209 homobasidiomycete ITS sequences in GenBank. Addition of these data to *mor* will greatly improve resolution of the terminal clades of homobasidiomycetes. However, ITS is too divergent to be aligned across all groups of homobasidiomycetes, so it will be necessary to create clade-specific ITS data sets and trees, and com-

bine them with the nuc-18S rDNA tree using supertree methods (Sanderson et al., 1998; Bininda-Emonds, 2004). A major challenge of such analyses will be to develop automated protocols for determining one-to-one correspondence (ontology) of ITS and nuc-18S rDNA sequences.

Even with the incorporation of ITS data, the classification generated by *mor* would be restricted to organisms that are represented by sequences in GenBank. This limitation could also be overcome, however, because taxonomic hierarchies have an inherent tree structure, which could be combined with sequence-based trees using supertree methods. Again, establishment of correspondences among sequences and named species will be challenging (especially in cases where the type specimen has not been sequenced). Still, in principle, it should be possible to develop automated methods that produce continuously updated classifications that embody the total knowledge of biodiversity and phylogeny, based on molecular data and traditional taxonomy.

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FIGURE 3. Overview of the 2239-sequence MP tree produced by *mor* on October 29, 2004. The clades that have been delimited in *mor* are labeled and the branches that support them are drawn with heavy lines. Numbers in parentheses are the numbers of sequences in each group. The trechisporoid clade is nested within polyporoid clade, and the athelioid clade is nested within the euagarics clade. The positions of the "Entoloma/Amanita" group and the "Trametes/Polyporus" group (which are not defined clades) are probably artifacts, as discussed in the text. A fully expanded view of the tree is available at <http://mor.clarku.edu>.

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Getting to the Roots of Matrix Representation

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Many supertree methods rely on the matrix representation (MR) of relationships in a set of source trees. The most common coding method is based on additive binary coding (Farris et al., 1970): for each informative node in a source tree (i.e., ones that correspond to a

parsimony-informative character), taxa that are descended from that node are scored as 1; those that are not, but are present on the tree are scored as 0; and those that are absent on that source tree, but present on other trees in the set are scored as ?. MR supertree construction has usually been performed using source trees that are rooted. This rooting can be accomplished either by re-rooting all trees using a single taxon common to all

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