

## Freedom, Narrative, and Happiness

*Freedom* starts in its opening chapter with a look at the Berglund family from an “outsider perspective,” that of the neighbors in a gentrified suburb of St. Paul, Minnesota; and from there it turns to the four insider perspectives of three Berglund family members and that of Richard Katz. The main plot, titled “2004,” and beginning on page 189, is told from three (male) perspectives, starting with that of Richard (the best, and only, friend of Walter and love-lover of Patty), followed by Joey (son of Patty and Walter, brother of Jessica, and love-lover and later husband of Connie), and ends with the perspective of Walter (best, and only, friend of Richard, husband of Patty, and father of Joey and Jessica). These three characters are given space to voice their perspectives on the emerging events and their relational entanglements at two occasions (following this sequence in two cycles), whereas Patty’s memoir (in which she presents a sense of herself as daughter, as love-lover and later wife of Walter, as mother to Joey and Jessica, and as lover of Richard) frames the two cycles of the three male perspectives titled “2004.” This memoir is staged in two parts: the first (fronting “2004” and titled “Mistakes Were Made”) is written at the request of her therapist around the time the family moved to Washington, DC, at the beginning of 2002, the second (following “2004” and titled “Mistakes Were Made [Conclusion] A Sort of Letter to Her Reader”) takes place six years after the two cycles have come to completion, which brings it close to 2010 (the year *Freedom* was published). In the final chapter, titled “Canterbridge Estates Lake” (in reference to the place that used to be called Nameless Lake<sup>1</sup>), Patty’s husband,

Walter, once more is given voice to offer his perspective on what results in some kind of happy ending: the salvage of the family. Thus, and in purely structural terms, apart from the neighbors’ perspective at the very beginning of *Freedom*, each protagonist comes to the fore at two occasions, except for Walter, who is heard and/or read as having the last word at the end of each cycle of male perspectives—and following his wife Patty’s concluding part of her autobiography, he wraps the different strands together at the very end of the novel.

In my commentary, I focus on Patty’s autobiography, which bears little resemblance to the kinds of narrative interviews that my social science colleagues typically work with. In terms of its literary style, it is no different from her three male counterparts’ perspectives, in that it carries the handwriting of the author of *Freedom*. Nevertheless, Patty stands out as an interesting character for several reasons: She receives more space to present her perspective—more than her husband, Walter (though he is heard at three occasions, Patty only at two). She is fleshed out in terms of her family genealogy, and she

and Richard tested out their passion for each other, and where Richard found his passion to compose his highly successful collection of musical pieces, released as *Nameless Lake*. When Walter returned after Lalitha’s death, after two loves of his life had been lost, it had become Canterbridge Estates Lake, associating an inhabited and civilized place of ownership and profit orientation, where he had to protect his only passion left, birds, by trapping cats; and the novel ends by turning the originally nameless place into a biotope, fenced in, to keep cats out—in memory and honor of Lalitha. My friend, the German cultural critic Norbert Focken, reminded me that the word for *cat* in German, *Katze*, sounds similar to the word in English, and is similar to the spelling for Richard’s last name (Katz), which opens the door to all kinds of additional interpretations.

<sup>1</sup>Nameless Lake was where Walter originally discovered his passion (and care) for nature and birds, where Patty

1 is fully developed in her role as daughter (of  
 2 Joyce) and as parent (to Joey and Jessica) and  
 3 in her relationship as lover to her husband  
 4 and to Richard (and from their perspective,  
 5 how they loved her). Most relevant, though,  
 6 in comparison to how Franzen develops the  
 7 other three protagonists, Patty is characterized  
 8 as having been drastically transformed, and as  
 9 we would expect from an “autobiography,”  
 10 she is positioned to the reader as more openly  
 11 reflecting on this process of her transformation.  
 12 It is for these reasons that I bring to bear some  
 13 tools of small-story analysis to *Freedom* in the  
 14 hope of illuminating aspects of identity analysis  
 15 with regard to the family of the Berglunds  
 16 (for an elaboration on this type of narrative  
 17 approach to identity analysis, see Bamberg,  
 18 2010, 2011a, 2011b, 2011c; Bamberg, De Fina,  
 19 & Schiffrin, 2011; Bamberg & Georgakopoulou,  
 20 2008; Georgakopoulou, 2007).

21 Before I try to do this, let me step back  
 22 with two caveats and a brief explication of  
 23 what we small-story analysts do, particularly  
 24 in light of the fact that social scientists are not  
 25 ranking high on the “Liking Scale” of literati  
 26 but also in the hope of clearing some wood:  
 27 First, *Freedom* is fiction. And this means that  
 28 readers, reviewers, and commentators have a  
 29 choice: they can focus on the characters (which  
 30 is the most common strategy, particularly for  
 31 readers), the author (which is more likely for  
 32 reviewers or literary critics), or the narrator (i.e.,  
 33 the perspectives from which the characters are  
 34 presented). The latter choice of focus is typical  
 35 for literary scholars (and critics) as an attempt  
 36 to pay tribute to the idea that we as readers (and  
 37 critics) have access to the characters only in  
 38 the way they are designed (in a very particular  
 39 way) by the author—most likely for a purpose:  
 40 Maybe the author is trying to tell (teach) the  
 41 reader something, and the perspective from  
 42 which characters are presented may not represent  
 43 the way the author sees the world. The particular  
 44 perspective from which Patty is presented is  
 45 what I work with and try to provide insight  
 46 into, irrespective of what the author may have  
 47 intended or whether he agrees with me. The  
 48 second caveat is that I am able to do this only in  
 49 a rather preliminary and superficial way, one that  
 50 barely lays open the procedures of small-story  
 51 analysis, let alone does full justice to the way  
 52 Patty is developed (and developing) over the  
 53 200 pages for her perspective on things, events  
 54 that happened in her life (particularly in the

1 more recent years that led up to where she’s at),  
 2 and her views on her relationships with family  
 3 (parents, lovers, and her children).

4 Working with narratives through small-story  
 5 analysis builds on the assumption that narratives  
 6 play an important role in the identity formation  
 7 of institutional and personal continuities. In its  
 8 function to position a sense of self in relation to  
 9 culturally shared values and existing normative  
 10 discourses, narrative discourse claims a special  
 11 status for the business of identity construction  
 12 (Bamberg, in press). In narratives, speakers  
 13 typically make claims about characters, and  
 14 they make the claims (that are said to have  
 15 held for a there and then) relevant to a here and  
 16 now of the speaking moment. In other words,  
 17 whenever speakers rely on narrative resources,  
 18 they connect spatiotemporal coordinates from  
 19 some past (or an imagined future) with a different  
 20 time–space zone (usually the here and now).

21 In making past characters relevant for the  
 22 moment of speaking, we face a number of  
 23 interesting identity dilemmas (Bamberg, 2010,  
 24 2011c; Bamberg et al., 2011). First, there is the  
 25 dilemma of how to handle the two directions  
 26 of fit between world and the person. For  
 27 instance, when describing a reprehensible action  
 28 in which I have been involved, do I take the  
 29 perspective that I made a mistake for which I take  
 30 responsibility, or do I present the perspective of  
 31 self-as-undergoer (or victim) from which what  
 32 happened was due to circumstances that were  
 33 forced on to me? A stance along the dimension  
 34 of high versus low agency forms the basis  
 35 for the assignment of blame and responsibility  
 36 but also probably what has been learned from  
 37 mistakes. Second, to what extent do I mark  
 38 myself as different from others, and to what  
 39 degree do I claim to be the same? Integrating  
 40 and differentiating a sense of who I am vis-à-  
 41 vis others (in particular vis-à-vis parents) is a  
 42 particularly difficult and interestingly revealing  
 43 process when it comes to the construction of  
 44 family relationships. Third, relating past and  
 45 present, we can highlight either change or, in  
 46 contrast, how we have remained the same. The  
 47 dilemma of how to present the journey from  
 48 a sense of who we used to be (as children  
 49 or adolescents) to who we have turned into is  
 50 extremely relevant when it comes to dealing  
 51 with issues of uselessness and loss, growing up  
 52 and gaining maturity, and how we ultimately  
 53 gain a sense of who we are. Working from  
 54 these identity dilemmas, I turn to two small

1 stories from Patty's autobiographical excerpts  
2 and—briefly and only to the extent possible  
3 in this short commentary—demonstrate what  
4 a narrative perspective can contribute to the  
5 analysis of identity constructions in this novel  
6 for the purpose of illuminating the somewhat  
7 larger conceptual frame of family.

8 Patty started her autobiography (“at her  
9 therapist's suggestion,” p. 27) with her first  
10 memory of doing a team sport; and she  
11 adds that it was the first and only time that  
12 she recalls her mother watching. In a very  
13 detailed recollection of what had transpired  
14 during the game, Patty positioned herself as  
15 “the only good player on the field” and the  
16 other girls as “sweet, less skilled, squealing,  
17 uncoordinated:” as people she “can't cooperate  
18 with.” On the way home, a dialogue ensues  
19 between her and her mom, of which Patty hears  
20 her mother characterizing her as “aggressive”  
21 and “competitive,” culminating in her mother's  
22 question-request: “Wouldn't it be more fun  
23 to all work together to cooperatively build  
24 something?” (p. 30).

25 The second small story (pp. 528–530) is taken  
26 from the second part of her autobiography,  
27 toward its end, after Patty's father had died and  
28 after she had successfully negotiated a dispute  
29 over the heritage among her siblings. Patty, on  
30 the way back to her mother's place, reflected on  
31 her mother's life and realized that all along her  
32 mother had been a person with purpose: “having  
33 done good in the world—and thereby saving  
34 herself” and “escaping her family's problems.”  
35 In addition, Patty also realized reflectively that  
36 she (Patty) was “lucky to have had a mother  
37 like Joyce” and that Joyce had been “lucky to  
38 have a daughter like Patty.” It seems that these  
39 two self-revelations sum up the transformation  
40 from the Patty who used to radically differentiate  
41 herself from her mother (and by extension, from  
42 her whole family) to the Patty who seemingly  
43 is at peace with her family (even her father)  
44 and herself; although, at that point, there still  
45 was “one big thing she didn't understand,”  
46 and she asked her mother: “Why did you  
47 never go to any of my basketball games?” In  
48 the dialogue that ensued on page 529, Patty  
49 expressed her conviction that her mother, if she  
50 had watched her playing and had seen how  
51 she “was totally succeeding,” would have felt  
52 not only “happy” (as in happy for someone  
53 else) but also “good about herself” (in her  
54 identity as Patty's mother). Patty's mother, in

turn, admits, “We made mistakes as parents”;  
1 and she subsequently shared a past event from  
2 her memory (pp. 259–260) to demonstrate that  
3 all her encouragement, support, and belief in her  
4 other daughter, Abigail (Patty was the eldest),  
5 resulted in the kind of suffering as a mother  
6 that is similar to the suffering resulting from the  
7 mistakes that Patty made: Abigail, so Patty is  
8 told, consistently punished her mother by failing  
9 in what she was attempting to accomplish;  
10 Abigail accused her mother of stealing her  
11 daughter's potential success by “taking it from  
12 her,” wanting to claim it to be her success—and  
13 that's why she (Abigail) ultimately failed with  
14 her life. And Patty's mother concludes her  
15 ruminations of her mother role: “I just have  
16 to try not to think too much about certain things,  
17 or else they'll break my heart” (p. 530).  
18

19 Both stories share a similar though different  
20 thread. In both stories one person gives advice to  
21 another on how to be happy. However, the agents  
22 have reversed their roles, and the stories lay  
23 out roads to happiness differently: Although the  
24 mother instructed her daughter in the first story  
25 to avoid competition and seek cooperation, the  
26 daughter in the second story advised her mother  
27 to see people in terms of what they are good  
28 at—to take them for who they are and to see the  
29 positive in them. Taking these two threads as  
30 woven into the fabric of *Freedom*, the question  
31 arises as to how Patty came to change from the  
32 person who makes mistakes and indulges in self-  
33 pitying to one who is less self-focused and more  
34 accepting and open minded vis-à-vis others. Was  
35 it that her writing (at her therapist's suggestion)  
36 enabled her to more clearly distill the mistakes  
37 that “were made”? Was it the reflection on  
38 those mistakes that helped learning and avoiding  
39 making (more) mistakes? And although the  
40 header of her autobiography uses the same  
41 passive phrase (“Mistakes were made”) that  
42 is traced to Ronald Reagan's 1986 coming out  
43 on the Iran-Contra weapons-for-hostages deal, it  
44 doesn't necessarily imply taking responsibility  
45 or implementing changes to the better; rather, it  
46 suggests a kind of thoughtless or shallow action  
47 that can be avoided by simply giving them more  
48 thinking, by reflecting a little more deeply.

49 Thus, although Patty positions herself toward  
50 the end of her transformation as more agentive—  
51 having moved from the recipient end to  
52 herself being able to give advice as an active  
53 agent on how to resolve her mother's and sib-  
54 lings' competitiveness and self-pity—it remains

1 unclear as to how she acquired or gained this  
 2 position of a new agency. However, there is  
 3 another difference in positioning between the  
 4 two small stories, one that concerns how Patty  
 5 differentiates and integrates herself with regard  
 6 to others. Patty positions herself as “competitive”  
 7 (if not “aggressive”) on the field, and as  
 8 different from, if not “outside” of, the rest of  
 9 her family—which may be considered grounds  
 10 for having distanced herself from this family for  
 11 almost all her life—but her new position in the  
 12 second small story is one in which her mem-  
 13 bership has been redeemed. She has become  
 14 once again and now *is* one of them; she presents  
 15 herself as “unflattering,” “weird,” and “inef-  
 16 fectual” just like her siblings (p. 528), and she  
 17 sees herself as “having made probably more  
 18 mistakes as a mother” (p. 529) than her mother  
 19 but in essence, as “good for each other.” And  
 20 although Patty still sees herself as competitive  
 21 and potentially divulging in self-pity, this new  
 22 position is very different from the one she took  
 23 (or was described as taking) in her earlier mem-  
 24 ories, as unraveled in small stories in the part of  
 25 her autobiography that she had (“composed at  
 26 her therapist’s suggestion,” p. 27).

27 Nevertheless, the question remains unan-  
 28 swered as to how she became the person who is  
 29 depicted in the second part of her autobiography  
 30 and the person who is found (and taken back in)  
 31 by her husband, Walter, at the doorsteps of his  
 32 lake house at Canterbury Estates Lake. Asking  
 33 what may have caused her transformation may  
 34 be the wrong question to ask, but one still won-  
 35 ders what may have facilitated the changes and  
 36 transformation that the reader—and in the fic-  
 37 tional world, her parents and her siblings, and  
 38 her children and (finally) her husband—con-  
 39 fronts in terms of Patty’s character development.  
 40 Of course, this kind of question is not typically  
 41 asked regarding fictional characters. It’s the way  
 42 they were drawn up; and all we may be left  
 43 with is a discussion about whether the way they  
 44 developed (or did not—as for the other female  
 45 characters of *Freedom*) is believably reliable.  
 46 However, in the attempt to navigate what we as  
 47 readers bring to the interpretation of characters  
 48 as interpretive repertoires, and what the author  
 49 and/or narrator may have employed as rhetorical  
 50 plot configurations, let me make the following  
 51 compromise.

52 First, to explore the grounds for what  
 53 facilitated Patty’s character development, we  
 54 may start with the question of how Patty

(although we may ask this for anyone else 1  
 in this novel) became the dissatisfied and 2  
 disappointed character as who she was depicted: 3  
 full of unhappiness and sarcasm, sufficiently 4  
 depressed, driven by her sense of uselessness 5  
 and self-pity, and ultimately lonely. It appears 6  
 as if the characters in this novel in concert 7  
 were attempting to trace their suffering back 8  
 to injustices and slights they received in 9  
 childhood and adolescence—where the family 10  
 is constructed as the center of collecting 11  
 experiences and forming a sense of self, of 12  
 who-we-are, of how to live and how to become 13  
 happy; where mistakes were made; and where 14  
 mothers are torn between too little mother- 15  
 love (as in the case of Patty and her mother, 16  
 and in the case of Walter’s grandfather, who 17  
 reportedly emigrated from Sweden because his 18  
 mother didn’t love him enough) and too much 19  
 mother-love (as in the case of Patty and her 20  
 son Joey, and as we overhear, between Patty’s 21  
 mother and her daughter Abigail); and where not 22  
 finding the right measure of mother love (as in 23  
*moderate* and *cool*; see Stearns, 1994) becomes 24  
 yet another, though quite fertile, facilitator for 25  
 individual suffering in characters’ struggles. 26

27 But how did Patty overcome and free herself  
 28 from the misery that (her) family ties presumably  
 29 caused? How was she able to ultimately find  
 30 happiness in a kinder and better life, something  
 31 that she had been striving for since her earliest  
 32 memories, the happiest of possible lives in which  
 33 she ultimately could become the good wife and  
 34 the good mother—a striving that piles up the  
 35 pressure and has the potential of facilitating  
 36 even more misery? It appears as if the medicine  
 37 for overcoming her misery were a good cocktail  
 38 of suffering and reflection, coupled with a turn  
 39 to agency in the form of a more agentive  
 40 sense of self: Patty had to fall so deeply in  
 41 her suffering and personally experience the  
 42 superlative of low (“the lowest ever,” p. 508)  
 43 to pull herself to how she is depicted in her  
 44 final memories toward the end of the book.  
 45 And to be able to pull herself, she had to be  
 46 given the right amount of self-reflection—so she  
 47 would recognize her mistakes as what led to  
 48 her lowest of low points—and consequently she  
 49 had to be bestowed with a new form of agency  
 50 so she could pull herself out of this low into  
 51 a new sense of happier self. Whether all this  
 52 was due to the narrative means she employed  
 53 (as she claimed to Walter: “it was a therapy  
 54 project,” p. 461) or whether her narrative was 54

1 just the expression of her transformation remains  
2 open to speculation. (Although an elaboration of  
3 the therapist as narrative character would have  
4 potentially come at the cost of Patty's developing  
5 agency, it nevertheless is interesting that he or  
6 she finds absolutely no mention elsewhere in  
7 *Freedom*—something I, as reader, actually am  
8 grateful for.)

9 Let me conclude with two brief, though crit-  
10 ical, reflections on the role of suffering and the  
11 power of narrative. I should stress that I usually  
12 work with nonfiction—with “real” narratives  
13 from real people—small stories, the way they  
14 are embedded in conversations between people  
15 in vivo and in situ. In addition, my kind of  
16 small-story analysis is not confined to what peo-  
17 ple say but builds on the emotional-interactional  
18 practices that are embodied in the situated  
19 occasioning of these stories, and I work in a  
20 finer-grained, microanalytic fashion—if possi-  
21 ble drawing on bodily and affective performative  
22 cues—than this contribution displays. Working  
23 with two small stories plucked from Patty's auto-  
24 biography, I have tried to show how small-story  
25 analysis can be applied to fictional work in a  
26 similar vein, thus helping reveal insights into  
27 identity formation practices and their underpin-  
28 nings. This was the aim when I originally took  
29 on the task of bringing small-story analysis to  
30 Jonathan Franzen's *Freedom*. However, there is  
31 another strand in our work with small stories—a  
32 more critical strand, one that in my opinion  
33 is worthwhile to follow up on in more detail,  
34 although this project is beyond the scope of this  
35 review.

36 Francine du Plessix Gray speculated in a  
37 *Boston Globe* interview on the empowering  
38 attraction of the memoir (Bolik, 2006):

39  
40 Novels keep us at distance. I get the sufferings and  
41 tribulations of childhood much more immediately  
42 from McCarthy's autobiography than I do from a  
43 novel about the problems of growing up. A memoir  
44 is less mediated, and more like a patient/doctor  
45 relationship: The writer is on the couch talking:  
46 you, the doctor, are reading with passion and  
47 interest, and listening, as good doctors must listen,  
48 and at the same time putting it through the mill—  
49 as any good doctor would—of your own  
50 consciousness, memory, and experience. (p. B3)

51 Building on this quote, it appears that we as  
52 readers bring to our readings of characters like  
53 Patty a wealth of therapeutic theology and desire,  
54 a system of beliefs that, according to Illouz

(2008), is the result of an alliance between 1  
self-help industry and modern psychology. In 2  
this ideology the narrative of how to become a 3  
good person and lead a happy life (as in the good 4  
wife and the good mother) requires the ethos 5  
of self-reliance in the face of suffering. This 6  
narrative strongly suggests a plotline that starts 7  
off from the individual experience of the lowest 8  
low point (which simultaneously is coupled with 9  
the experience of extreme loneliness) before the 10  
next stage can kick in, in the form of a more 11  
adequate reflection of what is wrong (resulting 12  
in an account of what mistakes were made). It 13  
appears that these two stages of deepest suffering 14  
and subsequent reflection have become plotlike 15  
prerequisites that make the redirection of one's 16  
personal agency from an undergoer or victim to a 17  
person who authoritatively takes charge, claims 18  
responsibility for her wrongdoing (mistakes), 19  
and becoming a moral agent, a more plausible 20  
and believable narrative. And it appears that 21  
happiness and life fulfillment without this self- 22  
reflective claim to a new and higher form 23  
of agency are unthinkable and unattainable. 24  
Ironically, although this master narrative has 25  
been successfully embraced in the theology of 26  
suffering and is continuously recycled in “the 27  
church of Oprah Winfrey” (Oppenheimer, 2011, 28  
p. A15), it also seems to have affected and 29  
become quite successfully woven into the fabric 30  
of Franzen's *Freedom*. 31

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